

APT-0006



Patent Application

1772 \$  
#7/10  
122701

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

HIRAI et al.

Atty. Dck. No. APT-0006

Serial No.: 09/856,468

Examiner: Unassigned

Filed: June 7, 2001

Art Unit: 1772

For: CRYSTALLINE AROMATIC POLYESTER RESIN PREPUFF AND MOLDED  
FOAM ARTICLE AND LAMINATED MOLDED FOAM ARTICLE USING THE  
SAME

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

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TC 1700

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to  
Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR 1.56.

- ☒ A copy of each listed document is being submitted to comply with the  
provisions of 37 CFR 1.97 and 1.98.
- ☐ As provided in 37 CFR §1.98(d), copies of the documents are not being  
provided since they were previously cited by or submitted to the Patent Office  
in parent application Serial No. \_\_\_\_\_, filed \_\_\_\_\_ of 37 CFR  
1.97 and 1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as  
an admission that such document constitutes prior art against the claims of the present  
application or that such document is considered material to patentability as defined in 37 CFR  
§1.56(b). Applicant does not waive any rights to take any action which would be appropriate  
to antedate or otherwise remove as a competent reference any document which is determined  
to be a *prima facie* prior art reference against the claims of the present application.

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Concise Explanation of Relevancy of the Document

☐ 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date or the date of filing a CPA, OR is being filed concurrent with the Request for Continued Examination (RCE). No fee is required.

☐ 2. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits in the present application. No fee is required. However, if a first Office Action on the merits is issued, no fee is required in view of the statement below.

☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

Further, if a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311 or an action that otherwise closes prosecution in the application has been mailed prior to filing of this Information Disclosure Statement, the Patent Office is authorized to charge \$180.00 under 37 CFR §§ 1.97(d)(2) and 1.17(p) in view of the statement above under 37 CFR §1.97(e). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 18-0013 as needed to ensure consideration of the disclosed information.

☒ 3. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits in the present application. No fee is required. However, if a first Office Action on the merits is issued, please charge deposit account 18-0013 in the amount of \$180.00 for payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 18-0013 as needed to ensure consideration of the disclosed information.

☐ 4. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance. No fee is required in view of the statement below.

☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

If, however, a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311 or an action that otherwise closes prosecution in the application has been mailed prior to filing of this Information Disclosure Statement. The Patent Office is authorized to charge \$180.00 under 37 CFR §§ 1.97(d)(2) and 1.17(p) in view of the statement above under 37 CFR §1.97(e). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 18-0013 as needed to ensure consideration of the disclosed information.

☐ 5. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance. Please charge deposit account 18-0013 in the amount of \$180.00 for payment of the fee under 37 CFR §1.17(p).

☐ 6. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. The Patent Office is authorized to charge \$180.00 under 37 CFR §§ 1.97(d)(2) and 1.17(p) in view of the statement above under 37 CFR §1.97(e). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 18-0013 as needed to ensure consideration of the disclosed information.

☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR

§1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

- ☒ 7. Please charge any fee deficiency or credit any overpayment to Deposit Account No. 18-0013 as needed to ensure consideration of the disclosed information.

Respectfully submitted,



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Date: December 11, 2001

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